



Finanzdienstleistungsgesetz, Beraterregister
Loi sur les services financiers, Registre des conseillers
Legge sui servizi finanziari, Registro dei consulenti

LISTE DER ANERKANNTEN AUS- UND WEITERBILDUNGEN IM BEREICH FACHKENNTNISSE

Die nachfolgenden Aus- und Weiterbildungen ermöglichen den Gesuchstellern bei der Eintragung den Nachweis der notwendigen Fachkenntnisse.

Status: 24.02.2026

| Institute - Name | Erwerb oder die Veräusserung von Finanzinstrumenten | Annahme und Übermittlung von Aufträgen | Verwaltung von Finanzinstrumenten (Vermögensverwaltung) | Ertelung von persönlichen Empfehlungen (Anlageberatung) | Gewährung von Lombardkrediten |
|--|--|---|--|--|--------------------------------------|
| Association of Certified International Investment Analysts (ACIIA) - Certified International Investment Analyst (CIIA) | | x | x | x | |
| Association of International Wealth Management (AIWM) - Certified International Wealth Manager Diploma (CIWM) | x | x | x | x | x |
| ASG/VSV – Qualified Independent Wealth Manager | x | x | x | x | x |
| AZEK - Associate Wealth Manager (AWM) | x | x | x | x | x |
| AZEK - Certified International Wealth Manager (CIWM) | x | x | x | x | x |
| AZEK - Financial Analyst & Portfolio Manager (CIIA) | x | x | x | x | x |
| AZEK - Financial Market Operator (FMO) | x | x | x | x | x |
| Certified Financial Planner in Verbindung mit ZHAW – MAS Financial Accounting | x | x | x | x | x |
| Certified Financial Planner in Verbindung mit IAF – Dipl. Finanzplaner/in mit eidg. FA (plus CFP®-Upgrade-Kurs) | x | x | x | x | x |
| CFA Institute: CFA Program Level 1 | x | x | x | x | |
| CFA Institute: CFA Program Level 2 | x | x | x | x | |
| CFA Institute: CFA Charterholder (completion level 3 exam and fulfilling all requirements) | x | x | x | x | |
| CFA Institute: Certificate in ESG Investing | x | x | x | x | |
| CFA Society Switzerland: Recertification Courses for Certified Wealth Management Advisors (CWMA SAQ accredited) | x | x | x | x | |

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|---|---|---|---|---|---|
| CFA Institute / Society – Fellow or Associate by examination | x | x | x | x | x |
| CFA Institute / Society – Holder of Associate qualification | | | | x | |
| CFA Institute / Society – Investment Management Certificate (Level 3 or 4) | x | x | x | x | x |
| Chartered Alternative Investment Analysis Association (CAIA) - Level 1 | | | | x | |
| Chartered Institute for Securities and Investment (CISI) - Certificate in Corporate Finance | x | | | x | |
| Chartered Institute for Securities and Investment (CISI) - Certificate in Derivatives | x | | | | |
| Chartered Institute for Securities and Investment (CISI) - Certificate in Investment Management | x | x | x | x | x |
| Chartered Institute for Securities and Investment (CISI) - Certificate in Pension Transfers and Planning Advice | | | x | | x |
| Chartered Institute for Securities and Investment (CISI) - Certificate in Private Client Investment Advice and Management | | x | x | x | x |
| Chartered Institute for Securities and Investment (CISI) - Certificate in Securities | x | | | x | |
| Chartered Institute for Securities and Investment (CISI) - Certificate in Securities and Financial Derivatives | x | | | x | |
| Chartered Institute for Securities and Investment (CISI) - Client Services Qualification | x | | | | |
| Chartered Institute for Securities and Investment (CISI) - Diploma | x | x | x | x | x |
| Chartered Institute for Securities and Investment (CISI) - Diploma - Global Operations Management Module | x | | | | |
| Chartered Institute for Securities and Investment (CISI) - Diploma - International Operations Management Module | x | | | | |
| Chartered Institute for Securities and Investment (CISI) - Diploma - Operations Management Module | x | | | | |
| Chartered Institute for Securities and Investment (CISI) - Diploma - Regulation and Compliance Module | x | x | x | x | |
| Chartered Institute for Securities and Investment (CISI) - Diploma in Corporate Finance | | | | x | |
| Chartered Institute for Securities and Investment (CISI) - Investment Advice Certificate | x | x | x | x | x |
| Chartered Institute for Securities and Investment (CISI) - Investment Operations Certificate - UK Financial Regulation Module | x | x | x | x | |
| Chartered Institute for Securities and Investment (CISI) - Level 3 Certificate in Investments | x | x | x | x | x |
| Chartered Institute for Securities and Investment (CISI) - Level 4 Diploma in Investment Advice (Securities) | x | x | x | x | x |
| Chartered Institute for Securities and Investment (CISI) - Level 6 Diploma in Wealth Management | | x | x | | x |
| Chartered Institute for Securities and Investment (CISI) - Masters in Wealth Management | | x | x | x | x |
| Chartered Institute for Securities and Investment (CISI) - Member of the Securities Institute by examination | x | | | x | |
| Chartered Insurance Institute (CII) - Level 4 Diploma in Regulated Financial Planning | x | x | x | x | X |
| Cofactory – Professional Knowledge for Client Advisors | x | x | x | x | x |
| CSVN – CAS Wealth Management | x | | x | x | x |
| CSVN – CAS Wealth Manager | x | | x | x | x |

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|---|---|---|---|---|---|
| CSVN – CAS Wealth Management and Sustainability | x | | x | x | x |
| CSVN – CAS Risk Management in Banking and Asset Management | | | x | | |
| CSVN – CAS Risk Manager in Financial Services | | | x | | |
| LIBF- level 4 Diploma for financial advisors (DipFA) | x | x | x | x | x |
| EFFAS Societies with accredited examinations - Certified European Financial Analyst | | x | x | | |
| HFW Vertiefung Banking + Finance Mendo | x | x | x | x | x |
| IAF - Dipl. Finanzberater/in | x | x | | x | x |
| IAF - Dipl. Finanzberater/in (Kenntnisnachweis FIDLEG) | x | x | | x | x |
| IAF - Finanzplaner/in mit eidg. FA | x | x | | x | x |
| IAF - Zertifizierter Vermögensberater/in IAF | x | x | | x | x |
| IMD / Leonteq - Investing in Structured Products | | x | x | x | |
| ISFB - Chartered Financial Analyst (CFA I, II, III) | x | | x | x | |
| ISFB - Chartered Alternative Investment Analyst (CAIA I, II) | x | | x | x | |
| ISFB - Financial Risk Manager (FRM I, II) | x | | x | x | |
| ISFB -SAQ Certified Wealth Management Advisor (SAQ CWMA) | x | x | x | x | x |
| ISFB - CAS HES en Solutions d'investissement : Module Financements | | | | x | x |
| ISFB - CAS HES en Solutions d'investissement : Module Solutions d'investissement | x | | x | x | |
| ISFB - CAS HES en Solutions d'investissement : Module Stratégies d'investissement | x | | x | x | |
| ISFB - CAS HES en Solutions d'investissement : Module Macroéconomie | x | | x | x | |
| ISFB - CAS HES en Solutions d'investissement : Module Environnement du client | x | | | x | x |
| Kathiago GmbH - Zertifizierter Vermögensberater KT | x | x | | x | x |
| Fintelligence - Ausbildungsnachweis für Kundenberater nach FIDLEG - Fachkenntnisse | x | x | x | x | x |
| SAQ - Zertifizierter Affluent Kundenberater SAQ | x | x | x | x | x |
| SAQ - Certified Corporate Banker CCOB | x | x | x | x | x |
| SAQ - Certified Wealth Management Advisor CWMA | x | x | x | x | x |
| SAQ - Zertifizierter Individualkundenberater | x | x | x | x | |
| SAQ - Zertifizierter KMU Kundenberater | x | x | | x | x |
| SAQ - Zertifizierter Privatkundenberater | x | x | | x | |
| SIX Swiss Exchange - Certified Securities Trader | | | | x | |
| SLS Finanzberater-Lizenz-Prüfung (Swiss Life Select) | | | | x | |
| Swiss Finance Institute - Dual degree Executive MBA in Asset and Wealth Management | | x | x | | |
| VisionCompliance - Online Test - All Financial Services according to FINSA | x | X | X | X | x |
| VisionCompliance - Online Test - Fund Distribution | x | x | | | |

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|---|---|---|---|---|---|
| VisionCompliance - Online Test - Executing Forwarding of Orders and Credit Activities | X | x | | | x |
| VisionCompliance - Online Test - Asset Management and Investment Advice | | | x | x | |
| ZHAW - MAS Financial Consulting | x | x | x | x | X |
| ZHAW - CAS Wealth Management | x | x | x | x | x |
| Zugerberg Finanz Academy – Seminar Kapitalanlagen | | | | x | |